

Business Proprietary

OMB No. 3117-0016/USITC No. 03-4-1998 Expiration Date: 6/30/05
(No response is required if currently valid OMB control number is not displayed)

REFINED BROWN ALUMINUM OXIDE FROM CHINA
(Investigation No. 731-TA-1022 (Preliminary))

CERTIFICATION

I certify that the information herein supplied in response to this questionnaire is complete and correct to the best of my knowledge and belief and understand that the information submitted is subject to audit and verification by the Commission.

By signing this certification I also grant consent for the Commission, and its employees and contract personnel, to use the information provided in this questionnaire and throughout this investigation in any other import-injury investigations conducted by the Commission on the same or similar merchandise. (If you do not consent to such use, please note the certification accordingly.)

I acknowledge that information submitted in this questionnaire response and throughout this investigation may be used by the Commission, its employees, and contract personnel who are acting in the capacity of Commission employees, for developing or maintaining the records of this investigation or related proceedings for which this information is submitted, or in internal audits and investigations relating to the programs and operations of the Commission pursuant to 5 U.S.C. Appendix 3. I understand that all contract personnel will sign non-disclosure agreements.

Name and Title of Authorized Official

Date

Signature of Authorized Official

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Phone

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Fax

E-mail address

FIRM NAME AND ADDRESS

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 15 hours per response, including the time for reviewing instructions, searching existing data sources, gathering the data needed, and completing and reviewing the questionnaire. Send comments regarding the accuracy of this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Office of Investigations, U.S. International Trade Commission, 500 E Street, SW, Washington, DC 20436. Please report below the actual number of hours required and the cost to your firm of preparing the reply to this questionnaire and completing the form.

_____ hours _____ dollars

OPERATIONS IN China

1. Please report production capacity, production, shipments, and inventories of refined brown aluminum oxide produced by your firm in China on page 5.
2. What percentage of your firm's total sales in its most recent fiscal year was represented by sales of refined brown aluminum oxide?
_____ Percent

3. Does your firm produce products other than refined brown aluminum oxide on the same equipment and machinery used in the production of refined brown aluminum oxide?
☐ No ☐ Yes--Please list these product(s) and provide the share of your firm's total net sales (in percent) accounted for by these product(s) in its most recent fiscal year.

<i>Product(s)</i>	<i>Share of sales</i>
_____	_____
_____	_____
_____	_____

4. Does your firm have any plans to add, expand, curtail, or shut down production capacity and/or production of refined brown aluminum oxide in China?
☐ No ☐ Yes--Please describe those plans, including planned dates and capacity/production quantities involved.

OPERATIONS IN China--Continued

5. Is the refined brown aluminum oxide exported by your firm subject to antidumping findings or remedies in any WTO-member countries?

☐ No ☐ Yes--List the products(s), countries affected and the date of such findings/remedies.

<i>Product</i>	<i>Country</i>	<i>Date</i>
_____	_____	_____
_____	_____	_____
_____	_____	_____

OPERATIONS IN THE UNITED STATES

1. Please provide the names and addresses of the **FIVE** largest U.S. importers of your firm's refined brown aluminum oxide in **2001**.

2. Does your firm or any related firm produce, have the capability to produce, or have any plans to produce refined brown aluminum oxide in the United States?

☐ No ☐ Yes--Please name the firm(s) below and ensure that they complete the Commission's producer questionnaire (contact **Jim McClure** for copies of that questionnaire).

OPERATIONS IN THE UNITED STATES—Continued

3. Does your firm or any related firm import or have any plans to import refined brown aluminum oxide into the United States?

☐ No ☐ Yes--Please name the firm(s) below and ensure that they complete the Commission's importer questionnaire (contact **Jim McClure** for copies of that questionnaire).

4. Has your firm maintained any inventories of refined brown aluminum oxide in the United States (not including inventories held by firms identified in questions 1, 2, or 3 above¹) since 1999?

☐ No ☐ Yes--Report the quantity (in short tons) of such end-of-period inventories below.

<u>1999</u>	<u>2000</u>	<u>2001</u>	<u>September</u> <u>2001</u>	<u>September</u> <u>2002</u>
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5. Does your firm sell refined brown aluminum oxide over the internet?

☐ No ☐ Yes--Please describe, noting the estimated percentage of your firm's total sales of refined brown aluminum oxide in 2001 accounted for by internet sales.

¹ Such firms will report inventories in the Commission's importer or producer questionnaire.

Production Capacity, Production, Shipments, and Inventories of Refined Brown Aluminum Oxide Produced by Your Firm in China

(Quantity in short tons)							
Item	Actual experience					Projections	
	1999	2000	2001	January-September		2002	2003
				2001	2002		
AVERAGE PRODUCTION CAPACITY¹							
BEGINNING-OF-PERIOD INVENTORIES²							
PRODUCTION³							
SHIPMENTS:							
Internal consumption/transfers							
Home market sales							
Exports to--							
United States ⁴							
All other export markets ⁵							
Total exports							
Total shipments							
END-OF-PERIOD INVENTORIES							
<p>¹ Report the level of production that your establishment(s) could reasonably have expected to attain during the specified periods. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup; and a typical or representative refined brown aluminum oxide mix). Please explain any changes in reported capacity:</p>							
<p>² Reconciliation of data.--Please note that the quantities reported above should reconcile as follows: beginning-of-period inventories, plus production, less total shipments, equals end-of-period inventories. Do the data reported reconcile?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No--Please explain: _____</p>							
<p>³ Please estimate the percentage of total production of refined brown aluminum oxide in China accounted for by your firm's production in 2001.</p> <p align="right">_____ Percent</p>							
<p>⁴ Please estimate the percentage of total exports to the United States of refined brown aluminum oxide from China accounted for by your firm's exports in 2001.</p> <p align="right">_____ Percent</p>							
<p>⁵ Identify principal <i>other</i> export markets. _____</p>							